



Please type a plus sign (+) inside this box [+]

12-04-00 A  
PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

JCS46 U.S. PTO  
09/728672  
12/01/00

**UTILITY PATENT APPLICATION TRANSMITTAL**  
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 005217.P008  
(maximum 12 characters)

First Named Inventor Mai-lan Tomsen

Title: METHOD AND SYSTEM TO SAVE CONTEXT FOR DEFERRED TRANSACTION VIA INTERACTIVE TELEVISION

Express Mail Label No. EL431688055US

ADDRESS TO: **Assistant Commissioner for Patents**  
**Box Patent Application**  
**Washington, D. C. 20231**

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)
2.  Applicant Claims Small Entity Status. (37 CFR 1.27)
3.  Specification (Total Pages 33)  
(preferred arrangement set forth below)
  - Descriptive Title of the Invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4.  Drawings(s) (35 USC 113) (Total Sheets 5)
5.  Oath or Declaration (Total Pages 5)
  - a.  Newly Executed (Original or Copy)
  - b.  Copy from a Prior Application (37 CFR 1.63(d))  
(for Continuation/Divisional with Box 17 completed)
    - i.  DELETIONS OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
    - c.  Unsigned.
6.  Application Data Sheet. (37 CFR 1.76)
7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8.  Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a.  Computer Readable Form (CRF)
  - b.  Specification Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies); or
    - ii.  paper
  - c.  Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9.  Assignment Papers (cover sheet & documents(s))  
10.  a. Separate 37 CFR 3.73(b) Statement (where there is an assignee)  
       b. Power of Attorney  
11.  English Translation Document (if applicable)  
12.  a. Information Disclosure Statement (IDS)/PTO-1449  
       b. Copies of IDS Citations  
13.  Preliminary Amendment  
14.  Return Receipt Postcard (MPEP 503) (Should be specifically itemized)  
15.  Certified Copy of Priority Document(s) (if foreign priority is claimed)  
16.  Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.  
17.  Other: separate sheet with express mail label, copy of the postcard and attorney's signature

### 18A. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

Continuation       Divisional       Continuation-in-part (CIP)  
Of Prior Application No.: \_\_\_\_\_ Examiner \_\_\_\_\_ Group Art Unit \_\_\_\_\_

(which is a  continuation/  divisional/  CIP of prior application no. \_\_\_\_\_,  
which is a  continuation/  divisional/  CIP of prior application no. \_\_\_\_\_) (List entire chain of priority)

For CONTINUATION AND DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

### 18B. Statement under 37 CFR 3.73(b) for continuing application:

The undersigned states that \_\_\_\_\_ (name of assignee) is the assignee of the entire right, title, and interest in the accompanying patent application by virtue of an assignment recorded in the Patent and Trademark Office at Reel. No. \_\_\_\_\_ Frame No. \_\_\_\_\_ (or a copy of which is attached).

### 19. Correspondence Address

Customer Number or Bar Code Label \_\_\_\_\_  
or \_\_\_\_\_ (Insert Customer No. or Attach Bar Code Label here)  
 Correspondence Address Below

NAME Dennis M. de Guzman

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

ADDRESS 12400 Wilshire Boulevard

Seventh Floor

CITY Los Angeles STATE California ZIP CODE 90025-1026

Country U.S.A. TELEPHONE (425) 827-8600 FAX (425) 827-5644

Name (PRINT/TYPE) Dennis M. de Guzman Registration No.: 41,702  
Signature: Dennis M. de Guzman Date: 12-1-00

**REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor Mai-Ian Tomsen  
Title METHOD AND SYSTEM TO SAVE CONTEXT FOR DEFERRED TRANSACTION VIA  
INTERACTIVE TELEVISION  
Attorney Docket No. 005217.P008

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

12-1-00

Date



Signature

Dennis M. de Guzman

Typed or Printed Name

41.702

Registration No.

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months after the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Send to: Assistant Commissioner for Patents, Washington, D.C. 20231

## FEE TRANSMITTAL FOR FY 2001

TOTAL AMOUNT OF PAYMENT (\$) \$826.00

## Complete if Known:

Application No. New ApplicationFiling Date HerewithFirst Named Inventor Mai-Ian TomsenGroup Art Unit Not Yet AssignedExaminer Name Not Yet AssignedAttorney Docket No. 005217.P008

## METHOD OF PAYMENT (check one)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666

Deposit Account Name \_\_\_\_\_

 Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 Applicant claims small entity status. See 37 CFR 1.27

2.  Payment Enclosed:  Check  
 Credit Card  
 Money Order  
 Other

## FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid		
Fee Code	Fee Code	Fee (\$)	Fee (\$)		
101	710	201	355	Utility application filing fee	710.00
106	320	206	160	Design application filing fee	_____
107	490	207	245	Plant filing fee	_____
108	710	208	355	Reissue filing fee	_____
114	150	214	75	Provisional application filing fee	_____

SUBTOTAL (1) \$710.002. EXTRA CLAIM FEES

Total Claims	Independent Claims	Multiple Dependent	Extra Claims	Fee from below	Fee Paid
22	4		- 20** = 2 - 3** = 1	X 18.00 X 80.00	= 36.00 = 80.00 = _____

\*\*Or number previously paid, if greater; For Reissues, see below.

Large Entity	Small Entity	Fee Description		
Fee Code	Fee Code	Fee (\$)		
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$ 116.00

## FEE CALCULATION (continued)

**3. ADDITIONAL FEES**

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>	<u>Fee Paid</u>
Fee Code	Fee (\$)	Fee Code (\$)	
105	130	205	65
127	50	227	25
			Surcharge - late filing fee or oath
			Surcharge - late provisional filing fee or cover sheet
139	130	139	130
147	2,520	147	2,520
112	920*	112	920*
			Non-English specification
			For filing a request for ex parte reexamination
			Requesting publication of SIR prior to Examiner action
113	1,840*	113	1,840*
			Requesting publication of SIR after Examiner action
115	110	215	55
116	390	216	195
117	890	217	445
118	1,390	218	695
128	1,890	228	945
119	310	219	155
120	310	220	155
121	270	221	135
138	1,510	138	1,510
140	110	240	55
141	1,240	241	620
142	1,240	242	620
143	440	243	220
144	600	244	300
122	130	122	130
123	130	123	130
126	180	126	180
581	40	581	40
			Petition to institute a public use proceeding
			Petition to revive – unavoidable
			Petition to revive - unintentional
			Utility issue fee (or reissue)
			Design issue fee
			Plant issue fee
			Petitions to the Commissioner
			Petitions related to provisional applications
			Submission of Information Disclosure Stmt
			Recording each patent assignment per property (times number of properties)
146	710	246	355
			For filing a submission after final rejection (see 37 CFR 1.129(a))
149	710	249	355
			For each additional invention to be examined (see 37 CFR 1.129(b))
179	710	279	355
169	900	169	900
			Request for Continued Examination (RCE)
			Request for expedited examination of a design application
Other fee (specify)			
Other fee (specify)			

**SUBTOTAL (3) \$ 0.00**

\*Reduced by Basic Filing Fee Paid

**SUBMITTED BY:**

Typed or Printed Name: Dennis M. de Guzman

Signature: Dennis M. de Guzman Date: 12-1-00

Reg. Number: 41,702 Telephone Number: (425)827-8600

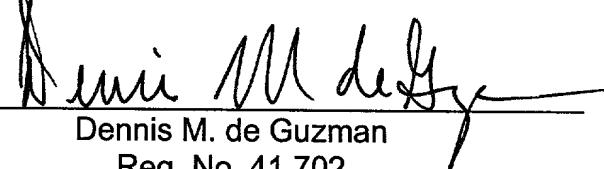
**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

METHOD AND SYSTEM TO SAVE CONTEXT FOR DEFERRED TRANSACTIONS  
VIA INTERACTIVE TELEVISION.

Inventors: Mai-lan Tomsen

Respectfully submitted,

BLAKELY SOKOLOFF TAYLOR &amp; ZAFMAN LLP

  
 Dennis M. de Guzman  
 Reg. No. 41,702
"Express Mail" mailing label number: EL431688055USDate of Deposit: December 1, 2000

I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to the Assistant Commissioner for Patents, Washington, D. C. 20231

Rimma N. Oks

(Typed or printed name of person mailing paper or fee)

12/01/00

(Signature of person mailing paper or fee)

(Date signed)

Serial/Patent No.: New Application Filing/Issue Date: Herewith  
 Client: Digeo Broadband, Inc. Atty/Secty Initials: DMG/rno  
 Title: METHOD AND SYSTEM TO SAVE CONTEXT FOR DEFERRED TRANSACTION  
 VIA INTERACTIVE TELEVISION Docket Due Date: \_\_\_\_\_  
 BSTZ File No.: 005217.P008

Date Mailed: December 1, 2000

The following has been received in the U.S. Patent &amp; Trademark Office on the date stamped hereon:

Amendment/Response (\_\_\_\_ pgs.)  Express Mail No. **EL431688055US** Check No. **000613**  
 Appeal Brief (\_\_\_\_ pgs.) (in triplicate)  Month(s) Extension of Time Amt. **\$826.00**  
 Application - Utility (33 pgs., with cover and abstract)  Information Disclosure Statement & PTO-1449 (\_\_\_\_ pgs.)  Check No. \_\_\_\_\_  
 Application - Rule 1.53(b) Continuation (\_\_\_\_ pgs.)  Issue Fee Transmittal \_\_\_\_\_  
 Application - Rule 1.53(b) Divisional (\_\_\_\_ pgs.)  Notice of Appeal \_\_\_\_\_  
 Application - Rule 1.53(b) CIP (\_\_\_\_ pgs.)  Petition for Extension of Time \_\_\_\_\_  
 Application - Rule 1.53(d) CPA Transmittal (\_\_\_\_ pgs.)  Petition for \_\_\_\_\_  
 Application - Design (\_\_\_\_ pgs.)  Postcard \_\_\_\_\_  
 Application - PCT (\_\_\_\_ pgs.)  Power of Attorney (\_\_\_\_ pgs.) \_\_\_\_\_  
 Application - Provisional (\_\_\_\_ pgs.)  Preliminary Amendment (\_\_\_\_ pgs.) \_\_\_\_\_  
 Assignment and Cover Sheet  Reply Brief (\_\_\_\_ pgs.) \_\_\_\_\_  
 Certificate of Mailing  Response to Notice of Missing Parts \_\_\_\_\_  
 Declaration & POA (5 pgs.)  Small Entity Declaration for Indep. Inventor/Small Business \_\_\_\_\_  
 Disclosure Docs & Orig &  Transmittal Letter, in duplicate \_\_\_\_\_  
 Copy of Inventor's Signed Letter (\_\_\_\_ pgs.)  Fee Transmittal, in duplicate \_\_\_\_\_  
 Drawings: 5 # of sheets includes 10 figures  
 Other: \* **separate sheet with express mail label, copy of postcard and attorney's signature;** \* **Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i) - (3rd page of Transmittal Letter)**